

DATE: May 14, 2019

## CIRCULARS

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### RBI

- Auction of Government of India Dated Securities
- Auction for Sale (Issue/re-issue) of Government Stock (GS)

### NSE

- SEBI Order in the matter of Ritman Infra Limited
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- SEBI Order in the matter of Ritman Infra Limited
- Change of Market Maker for Dangee Dums Limited (DANGEE)
- Applicability of Additional Surveillance Measure (ASM)
- Waiver of Collateral Charges
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- SAT Order in respect of Rajeev Singh Rajput
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- List of securities moving to Stage IV of Graded Surveillance Measure (GSM)

- [Action taken against those associated with Crecer Financial Services Pvt. Ltd. and related entities](#)
- [Commodity Derivatives Consolidated Circular](#)
- [Currency Derivatives Consolidated Circular](#)
- [F&O Consolidated Circular](#)
- [Capital Market Consolidated Circular](#)
- [Revised Mechanism for acquisition of shares through Stock Exchange pursuant to Tender-Offers under Takeovers, Buy Back and Delisting](#)
- [Order in the matter of Ritman Infra Limited](#)
- [SAT Order in respect of Rajeew Singh Rajput](#)
- [Download of Penalty Report for modification of Client Codes of non-institutional trades \(All Segments\)](#)
- [Download of Penalty Report for modification of Client Codes of non-institutional trades \(All Segments\)](#)
- [Charges for trading with unregistered UCC/UCC without PAN for the month of April, 2019](#)
- [Charges for not uploading the IML/BOLT TWS/BOLT PLUS Location information for the month the month of April 2019.](#)
- [New categories in UCC system w.r.t Commodity Derivatives segment.](#)

## **BSE**

- [Waiving off the Clearing Charges and Collateral Management Charges](#)
- [Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger – “Upload of Holding Statement”](#)
- [Download of Penalty Report for modification of Client Codes of non-institutional trades \(All Segments\)](#)
- [Download of Penalty Report for modification of Client Codes of non-institutional trades \(All Segments\)](#)

- Charges for trading with unregistered UCC/UCC without PAN for the month of April, 2019
- Charges for not uploading the IML/BOLT TWS/BOLT PLUS Location information for the month the month of April 2019.
- New categories in UCC system w.r.t Commodity Derivatives segment.

## MCX

- Reminder – Submission of data pertaining to Risk Based Supervision and Internal Audit Report
- Continuation of VSAT Network

## SEBI

- Order in the matter of Ritman Infra Limited
- Adjudication Order in the matter of JM Financial Limited
- Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by Mutual Funds
- Adjudication Order in respect of Mr. Saket Agarwal in the matter of ABG Shipyard Limited
- Permitting Foreign Portfolio Investors (FPI) to invest in Municipal Bonds

## NSDL

- 2019-0050-Tender\_Offer\_Metroglobal Limited – Tender offer for Buyback
- 2019-0051-Tender\_Offer\_Indo Rama Synthetics (India) Ltd. – Tender offer

## NCDEX

- Scheme of deposit for acceptance of Mutual Fund Units as collateral - Additional list
- Non-Availability of NCFE and Web Extranet portal
- Settlement Price of Refined Soy Oil Option Contract expired on May 10, 2019

## ICEX

- No New Circular

## INX

- No New Circular

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